UNITED STATES BANKRUPTCY CO	URT
SOUTHERN DISTRICT OF NEW YOR	kΚ

Chapter 11 In re Case No. 08-13555 (SCC) LEHMAN BROTHERS HOLDINGS INC., et al., (Jointly Administered) Debtors. Ref. Docket Nos. 60064, 60065, 60067, 60069, 60072, 60073, 60076, 60078, 60080, 60082, 60084, 60087, 60088, 60091, 60093, 60095, 60098, 60099, 60101, 60104, 60106, 60107, 60109, 60111, 60113, 60115, 60117, 60119, 60122, 60125, 60131, 60133, 600137, 60138, 60140, 60142, 60145 and 60147

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)	
)	ss.:
COUNTY OF NEW YORK)	

WING CHAN, being duly sworn, deposes and says:

- 1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC¹, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On December 6, 2019, I caused to be served the "Notice of Defective Transfer," dated November 8, 2019, a sample of which is annexed hereto as <u>Exhibit A</u>, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed <u>Exhibit B</u>.

¹ Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

<u>/s/ Wing Chan</u> Wing Chan

Sworn to before me this 9th day of December, 2019 /s/ Diane M. Streany

Notary Public, State of New York
No. 01ST5003825
Qualified in Westchester County
Commission Expires December 2, 2022

EXHIBIT A

UNITED STATES BANKRUPTCY COURT 08-13555-mg Doc 60280 Filed 12/16/19 Southern District of New York

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

BAR(23) MAILID *** 000159745522 ***

LBH DEFTRFNTC (ADDRESS2, ADRKEYID3) 32598



EMPYREAN LHMN SPV, LP ANN BATCHELOR PACE C/O CARTER LEDYARD & MILBURN LLP 2 WALL STREET NEW YORK, NY 10005 EMPYREAN LHMN SPV, LP TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES, CA 90067

PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT SNOW 1285 AVENUE OF THE AMERICAS NEW YORK, NY 10019

Your transfer of claim # 20676-01 is defective for the reason(s) checked below:

Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION Other CLAIM WAS ALREADY SOLD DURING SCA AUCTION

Docket Number 60117 Date: 11/08/2019

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

EXHIBIT B

LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 60280 Filed 12/16/19 Entered 12/16/19 14:34:15 Main Document Pg 6 of 10

Claim Name	Address Information
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250
	CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ATTN: ANN BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL STREET, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	ANNE BATCHELOR PACE, C/O CARTER LEDYARD & MILBURN LLP, 2 WALL ST, NEW YORK, NY 10005
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE 2950, LOS ANGELES, CA 90067
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EMPYREAN LHMN SPV, LP	C/O CARTER LEDYARD & MILBURN LLP, ATTN: ANN B. PACE, 2 WALL STREET, NEW YORK, NY 10005
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LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 60280 Filed 12/16/19 Entered 12/16/19 14:34:15 Main Document Pg / of 10

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ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
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GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
PAUL, WEISS, RIFKIND, WHARTON &	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
GARRISON LLP	THE PERIOD OF THE INDICATOR OF THE INDICATOR AND TOTAL AND TOTAL			
PAUL, WEISS, RIFKIND, WHARTON &	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
GARRISON LLP	THE PERIOD OF THE INDICATOR OF THE INDICATOR AND TOTAL AND TOTAL			
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GARRISON LLP	THE PERIOD OF THE INDICATOR AND TOTAL AT TOOLS			
PAUL, WEISS, RIFKIND, WHARTON &	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
GARRISON LLP	ATTACHMENT BROW, 1203 AVENUE OF THE AMERICAS, NEW TORK, NT 10019			
PAUL, WEISS, RIFKIND, WHARTON &	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
GARRISON LLP	ATIN' ELANTI SNOW, 1205 AVENUE OF THE AMERICAS, NEW TORK, NI 10019			
PAUL, WEISS, RIFKIND, WHARTON &	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
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	ATTIN FIANTE CNOW 1205 AMENTE OF THE AMEDICAC NEW VODE MY 10010			
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	ATTN: ELANIT SNOW, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019			
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GARRISON LLP	THEM: BEAUTI ONOW, 1205 AVENUE OF THE AMERICAS, NEW TORK, NI 10017			
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GARRISON LLP	THE RELATE ONCH, 1200 AVENUE OF THE AMERICAN, NEW TORK, HE TOUL)			
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Total Creditor Count 114